1 2 3 4 5 6 7 8	EDMUND G. BROWN JR., Attorney General of the State of California GLORIA A. BARRIOS Supervising Deputy Attorney General SCOTT J. HARRIS, State Bar No. 238437 Deputy Attorney General 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 Telephone: (213) 897-2554 Facsimile: (213) 897-2804 Attorneys for Complainant  BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA	
10   11	In the Matter of the First Amended Accusation and Petition to Revoke Probation Against:	Case No. 2008 - 173
12	SHERI LOLENE ROSE	FIRST AMENDED ACCUSATION
13	aka SHERI LOLENE SMITH 4126 East Laurel Avenue	AND PETITION TO REVOKE PROBATION
14	Visalia, CA 93292 Registered Nurse License No. 648109,	
15	·	·
16	Respondent.	
17		
18	Complainant alleges:	•
19	PARTIES	
20	1. Ruth Ann Terry, M.P.H., R.N. (Complainant) brings this First	
21	AmendedAccusation solely in her official capacity as the Executive Officer of the Board of	
22	Registered Nursing (Board), Department of Consumer Affairs.	
23	2. On or about November 17, 2004, the Board issued Registered Nurse	
24	License Number 648109 to Sheri Lolene Rose, also known as Sheri Lolene Smith (Respondent).	
25	The Registered Nurse License was in full force and effect at all times relevant to the charges	
26	brought herein and will expire on August 31, 2008, unless renewed.	
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20	III	
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#### **JURISDICTION**

- 3. This First Amended Accusation is brought before the Board under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
- 4. Section 2750 of the Business and Professions Code ("Code") provides, in pertinent part, that the Board may discipline any licensee, including a licensee holding a temporary or an inactive license, for any reason provided in Article 3 (commencing with section 2750) of the Nursing Practice Act.
  - 5. Section 2761 of the Code states:

"The board may take disciplinary action against a certified or licensed nurse or deny an application for a certificate or license for any of the following:

- "(a) Unprofessional conduct, which includes, but is not limited to, the following:
- "(1) Incompetence, or gross negligence in carrying out usual certified or licensed nursing functions."
  - 6. California Code of Regulations, title 16, section 1443.5 states:

"A registered nurse shall be considered to be competent when he/she consistently demonstrates the ability to transfer scientific knowledge from social, biological and physical sciences in applying the nursing process, as follows:

- "(1) Formulates a nursing diagnosis through observation of the client's physical condition and behavior, and through interpretation of information obtained from the client and others, including the health team.
- "(2) Formulates a care plan, in collaboration with the client, which ensures that direct and indirect nursing care services provide for the client's safety, comfort, hygiene, and protection, and for disease prevention and restorative measures.
- "(3) Performs skills essential to the kind of nursing action to be taken, explains the health treatment to the client and family and teaches the client and family how to care for the client's health needs.

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- "(4) Delegates tasks to subordinates based on the legal scopes of practice of the subordinates and on the preparation and capability needed in the tasks to be delegated, and effectively supervises nursing care being given by subordinates.
- "(5) Evaluates the effectiveness of the care plan through observation of the client's physical condition and behavior, signs and symptoms of illness, and reactions to treatment and through communication with the client and health team members, and modifies the plan as needed.
- "(6) Acts as the client's advocate, as circumstances require, by initiating action to improve health care or to change decisions or activities which are against the interests or wishes of the client, and by giving the client the opportunity to make informed decisions about health care before it is provided."
- 7. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

#### PROBATION TERMS AND CONDITIONS

- 8. On or about June 13, 2001, the Board received an application for Registered Nurse License from Respondent. Respondent's application was denied, and a Statement of Issues was filed in Board Case No. 2003-295. On or about October 12, 2004, the Board entered into a Stipulated Settlement and Disciplinary Order with the Respondent. Pursuant to the Stipulated Settlement and Disciplinary Order in Statement of Issues Case No. 2003-295, Respondent was issued Registered Nurse License No. 648109. However, the license was immediately revoked, stayed, and Respondent was placed on three (3) years probation subject to terms and conditions. The Final Decision and Order of the Board became effective on or about November 11, 2004. Among others, the probation included the following terms and conditions:
  - "1 Obey all Laws. Respondent shall obey all federal, state and local laws..."

1 2 3		Comply with the Board's Probation Program. Respondent shall fully comply with the conditions of the Probation Program established by the Board and cooperate with representatives of the Board in its monitoring and investigation of Respondent's compliance with the Board's Probation Program"
4	"11	Violation of Probation. If Respondent violates the conditions of her
5		probation, the Board after giving Respondent notice and an opportunity to be heard, may set aside the stay order and impose the stayed discipline (revocation/suspension) of Respondent's license. If during the period of
6		probation, an accusation or petition to revoke probation has been filed against Respondent's license or the Attorney General's Office has been
7		requested to prepare an accusation or petition to revoke probation against Respondent's license, the probationary period shall automatically be
8	•	extended and shall not expire until the accusation or petition has been acted upon by the Board."
9	"15	Abstain from Use of Psychotropic (Mood-Altering) Drugs.
10		Respondent shall completely abstain from the possession, injection or consumption by any route of all psychotropic (mood-altering) drugs,
11		including alcohol, except when the same are ordered by a health care professional legally authorized to do so as part of documented medical
12		treatment. Respondent shall have sent to the Board, in writing and within fourteen (14) days, by the prescribing health professional, a report
13		identifying the medications, dosage, and date the medication was prescribed, the Respondent's prognosis, the date the medication will no
14		longer be required, and the effect on the recovery plan, if appropriate.
15	]	Respondent shall identity for the Board a single physician, nurse practitioner or physician assistant who shall be aware of Respondent's
16		history of substance abuse and will coordinate and monitor any prescriptions for Respondent for dangerous drugs, controlled substances
17		or mood-altering drugs. The coordinating physician, nurse practitioner, or physician assistant shall report to the Board on a quarterly basis
18		Respondent's compliance with this condition. If any substances considered addictive have been prescribed, the report shall identify a
19		program for the time limited use of any such substances.
20		The Board may require the single coordinating physician, nurse practitioner, or physician assistance to be a specialist in addictive
21		medicine, or to consult with a specialist in addictive medicine."
22		FIRST CAUSE FOR DISCIPLINE
23		(Gross Negligence/Incompetence - Medication Error)
24		9. Respondent is subject to disciplinary action under Code section 2761,
25	subdivision (a)(1), in conjunction with California Code of Regulations, title 16, section 1443.5	
26	on the grounds	of unprofessional conduct, in that Respondent committed an act of gross

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negligence, and/or incompetence, as follows:

a. On or about June 6, 2007, Respondent made a medication error while caring for patients at the Delano Regional Care Center. Respondent administered medication to the wrong patient, violating one of the "5 Rights" of nurse administration of medication.

Respondent admitted the medication error to her probation monitor on or about June 12, 2007.

## FIRST CAUSE TO REVOKE PROBATION

10. Respondent violated term and condition 1 of her probation, in that Respondent violated the laws of the state related to the practice of registered nursing by committing unprofessional conduct in the form of gross negligence, and/or, incompetence when she made a medication error, as more fully discussed in paragraph 9, above.

## SECOND CAUSE TO REVOKE PROBATION

- 11. Respondent violated terms and conditions 2 and 15 of her probation, in that Respondent failed to comply and cooperate with the Board's Probation Program while on probation as follows:
- a. Respondent tested positive for prescription medications, specifically, Tramadol during her Random Biological Fluid Testings between August 2006 and April 2007 and failed to have sent to the Board, in writing and within fourteen (14) days, by a prescribing health professional, a report identifying Tramadol as a medication prescribed to Respondent, its dosage, the date the medication was prescribed, Respondent's prognosis, and the date the medication would no longer be required. A letter from Respondent's health care professional addressing Respondent's prescription for Tramadol was not received until on or about April 4, 2007.
- b. Respondent's Random Biological Fluid Testing results were out of range on or about June 2, 2005, June 22, 2005, August 16, 2005, September 28, 2005, November 22, 2005, August 17, 2006 and June 7, 2007; and, Respondent's results were diluted on or about April 19, 2006, and May 16, 2006. On or about July 31, 2006, Respondent was advised by her probation monitor to see her physician regarding the out of range and diluted results, and to have the health care professional send the probation monitor a letter regarding the results. Respondent failed to have a letter sent by her health care professional until on or about April 4, 2007.

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1	c. Respondent tested positive for Ethylglucuronide (Alcohol) on or about	
2	November 8, 2006 and May 17, 2007.	
3	<u>PRAYER</u>	
4	WHEREFORE, Complainant requests that a hearing be held on the matters herein	
5	alleged, and that following the hearing, the Board issue a decision:	
6	1. Revoking or suspending Registered Nurse License Number 648109,	
7	issued to Sheri Lolene Rose, also known as Sheri Lolene Smith;	
8	2. Revoking the probation that was granted by the Board of Registered	
9	Nursing in Case No. 2003-295, and imposing the disciplinary order that was stayed thereby	
10	revoking Registered Nurse License Number 648109, issued to Sheri Lolene Rose, also known as	
11	Sheri Lolene Smith;	
12	3. Ordering Sheri Lolene Rose, also known as Sheri Lolene Smith, to pay the	
13	Board the reasonable costs of the investigation and enforcement of this case, pursuant to	
14	Business and Professions Code section 125.3;	
15	4. Taking such other and further action as deemed necessary and proper.	
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17	DATED: 2/15/08	
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19	Dlut Hocklay for	
20	Ruth Ann Terry, M.P.H., R.N. Executive Officer	
21	Board of Registered Nursing State of California	
22	Complainant	
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25	LA2007601729	
26	First Amended Accusation.Rose.Sheri.wpd	
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1	EDMUND G. BROWN JR., Attorney General of the State of California	
2	GLORIA A. BARRIOS Supervising Deputy Attorney General	
3	SCOTT J. HARRIS, State Bar No. 238437  Deputy Attorney General	
4	300 So. Spring Street, Suite 1702 Los Angeles, CA 90013	
5	Telephone: (213) 897-2554 Facsimile: (213) 897-2804	
6	Attorneys for Complainant	
7	BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS	
8		
9	STATE OF CAL	IFORNIA
10	In the Matter of the Accusation and Petition to	Case No. 2008-173
11	Revoke Probation Against:	case No. 2 3 3 7 7 5
12	SHERI LOLENE ROSE aka SHERI LOLENE SMITH	ACCUSATION AND PETITION TO REVOKE
13	4126 East Laurel Avenue Visalia, CA 93292	PROBATION
14	Registered Nurse License No. 648109,	
15		
16	Respondent.	
17		
18	Complainant alleges:	
19	<u>PARTIE</u>	<u>2</u>
20	1. Ruth Ann Terry, M.P.H., R.N. (Complainant) brings this Accusation	
21	solely in her official capacity as the Executive Officer of the Board of Registered Nursing	
22	(Board), Department of Consumer Affairs.	
23	2. On or about November 17, 2004, the Board issued Registered Nurse	
24	License Number 648109 to Sheri Lolene Rose, also known as Sheri Lolene Smith (Respondent).	
25	The Registered Nurse License was in full force and effect at all times relevant to the charges	
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# **JURISDICTION**

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- "(3) Performs skills essential to the kind of nursing action to be taken, explains the health treatment to the client and family and teaches the client and family how to care for the client's health needs.

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"(4) Delegates tasks to subordinates based on the legal scopes of practice of the subordinates and on the preparation and capability needed in the tasks to be delegated, and effectively supervises nursing care being given by subordinates.

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## PROBATION TERMS AND CONDITIONS

- 8. On or about June 13, 2001, the Board received an application for Registered Nurse License from Respondent. Respondent's application was denied, and a Statement of Issues was filed in Board Case No. 2003-295. On or about October 12, 2004, the Board entered into a Stipulated Settlement and Disciplinary Order with the Respondent. Pursuant to the Stipulated Settlement and Disciplinary Order in Statement of Issues Case No. 2003-295, Respondent was issued Registered Nurse License No. 648109. However, the license was immediately revoked, stayed, and Respondent was placed on three (3) years probation subject to terms and conditions. The Final Decision and Order of the Board became effective on or about November 11, 2004. Among others, the probation included the following terms and conditions:
  - "1 Obey all Laws. Respondent shall obey all federal, state and local laws..."

"2 Comply with the Board's Probation Program. Respondent shall fully 1 comply with the conditions of the Probation Program established by the 2 Board and cooperate with representatives of the Board in its monitoring and investigation of Respondent's compliance with the Board's Probation 3 Program...' "11 4 Violation of Probation. If Respondent violates the conditions of her probation, the Board after giving Respondent notice and an opportunity to 5 be heard, may set aside the stay order and impose the stayed discipline (revocation/suspension) of Respondent's license. If during the period of 6 probation, an accusation or petition to revoke probation has been filed against Respondent's license or the Attorney General's Office has been 7 requested to prepare an accusation or petition to revoke probation against Respondent's license, the probationary period shall automatically be 8 extended and shall not expire until the accusation or petition has been acted upon by the Board." 9 "15 Abstain from Use of Psychotropic (Mood-Altering) Drugs. 10 Respondent shall completely abstain from the possession, injection or consumption by any route of all psychotropic (mood-altering) drugs. 11 including alcohol, except when the same are ordered by a health care professional legally authorized to do so as part of documented medical 12 treatment. Respondent shall have sent to the Board, in writing and within fourteen (14) days, by the prescribing health professional, a report 13 identifying the medications, dosage, and date the medication was prescribed, the Respondent's prognosis, the date the medication will no 14 longer be required, and the effect on the recovery plan, if appropriate. 15 Respondent shall identity for the Board a single physician, nurse practitioner or physician assistant who shall be aware of Respondent's 16 history of substance abuse and will coordinate and monitor any prescriptions for Respondent for dangerous drugs, controlled substances 17 or mood-altering drugs. The coordinating physician, nurse practitioner, or physician assistant shall report to the Board on a quarterly basis Respondent's compliance with this condition. If any substances 18 considered addictive have been prescribed, the report shall identify a 19 program for the time limited use of any such substances. The Board may require the single coordinating physician, nurse 20 practitioner, or physician assistance to be a specialist in addictive 21 medicine, or to consult with a specialist in addictive medicine." 22 FIRST CAUSE FOR DISCIPLINE 23 (Gross Negligence/Incompetence - Medication Error) 24 9. Respondent is subject to disciplinary action under Code section 2761. 25 subdivision (a)(1), in conjunction with California Code of Regulations, title 16, section 1443.5,

negligence, and/or incompetence, as follows:

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on the grounds of unprofessional conduct, in that Respondent committed an act of gross

a. On or about June 6, 2007, Respondent made a medication error while caring for patients at the Delano Regional Care Center. Respondent administered medication to the wrong patient, violating one of the "5 Rights" of nurse administration of medication.

Respondent admitted the medication error to her probation monitor on or about June 12, 2007.

#### FIRST CAUSE TO REVOKE PROBATION

10. Respondent violated term and condition 1 of her probation, in that Respondent violated the laws of the state related to the practice of registered nursing by committing unprofessional conduct in the form of gross negligence, and/or, incompetence when she made a medication error, as more fully discussed in paragraph 9, above.

## SECOND CAUSE TO REVOKE PROBATION

- 11. Respondent violated terms and conditions 2 and 15 of her probation, in that Respondent failed to abstain from the use of psychotropic drugs and comply and cooperate with the Board's Probation Program while on probation as follows:
- a. Respondent tested positive for prescription medications, specifically,
  Tramadol during her Random Biological Fluid Testings between August 2006 and April 2007
  and failed to have sent to the Board, in writing and within fourteen (14) days, by a prescribing
  health professional, a report identifying Tramadol as a medication prescribed to Respondent, its
  dosage, the date the medication was prescribed, Respondent's prognosis, and the date the
  medication would no longer be required. A letter from Respondent's health care professional
  addressing Respondent's prescription for Tramadol was not received until on or about April 4,
  2007.
- b. Respondent's Random Biological Fluid Testing results were out of range on or about June 2, 2005, June 22, 2005, August 16, 2005, September 28, 2005, November 22, 2005, August 17, 2006 and June 7, 2007; and, Respondent's results were diluted on or about April 19, 2006, and May 16, 2006. On or about July 31, 2006, Respondent was advised by her probation monitor to see her physician regarding the out of range and diluted results, and to have the health care professional send the probation monitor a letter regarding the results. Respondent failed to have a letter sent by her health care professional until on or about April 4, 2007.

1	c. Respondent tested positive for Ethylglucuronide (Alcohol) on or about	
2	November 8, 2006 and May 17, 2007.	
3	<u>PRAYER</u>	
4	WHEREFORE, Complainant requests that a hearing be held on the matters herein	
5	alleged, and that following the hearing, the Board issue a decision:	
6	1. Revoking or suspending Registered Nurse License Number 648109,	
7	issued to Sheri Lolene Rose, also known as Sheri Lolene Smith;	
8	2. Revoking the probation that was granted by the Board of Registered	
9	Nursing in Case No. 2003-295, and imposing the disciplinary order that was stayed thereby	
10	revoking Registered Nurse License Number 648109, issued to Sheri Lolene Rose, also known as	
11	Sheri Lolene Smith;	
12	<ol> <li>Ordering Sheri Lolene Rose, also known as Sheri Lolene Smith, to pay the</li> </ol>	
13	Board the reasonable costs of the investigation and enforcement of this case, pursuant to	
14	Business and Professions Code section 125.3;	
15	4. Taking such other and further action as deemed necessary and proper.	
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17	DATED: 12/5/07	
18		
19 20	Ruth Ann Terry, M.P.H., R.N.	
21	Executive Officer Board of Registered Nursing	
22	State of California Complainant	
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# BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

SHERI LOLENE SMITH

a.k.a., Sheri Lolene Rose,

a.k.a., Sheri Lolene Gabbert

a.k.a., Wendy Lynn Peterson

a.k.a., Lolly Smith

a.k.a., Lola Smith

4126 E. Laurel Ave.

Visalia, CA 93292

Case No. 2003-295

Respondent

# **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Registered Nursing, Department of Consumer Affairs, as it's Decision in the above entitled matter.

This Decision shall become effective on November 11, 2004.

IT IS SO ORDERED October 12, 2004

President

Board of Registered Nursing Department of Consumer Affairs

andra K. Eriekson

State of California

In the Matter of the Statement of Issues Against:  SHERI LOLENE SMITH, a.k.a., Sheri Lolene Rose, a.k.a., Sheri Lolene Gabbert, a.k.a., Wendy Lynn Peterson, a.k.a., Lola Smith, 4126 E. Laurel Ave. Visalia, CA 93292  Respondent.  IT IS HEREBY STIPULATED AND AGREED by and above-entitled proceedings that the following matters are true:  PARTIES  Ruth Ann Terry, M.P.H., R.N. (Complainant) is the Board of Registered Nursing. She brought this action solely in her represented in this matter by Bill Lockyer, Attorney General of the Stat Terrence M. Mason, Deputy Attorney General.  STIPULATED DISCIPLINAR above-entitled proceedings that the following matters are true:  PARTIES  Ruth Ann Terry, M.P.H., R.N. (Complainant) is the Board of Registered Nursing. She brought this action solely in her represented in this matter by Bill Lockyer, Attorney General of the Stat Terrence M. Mason, Deputy Attorney General.  Sheri Lolene Smith, a.k.a. Sheri Lolene Rose (Re herself in this proceeding and has chosen not to exercise her right to be On or about June 13, 2001, the Board of Registe			
TERRENCE M. MASON, State Bar No. 158935 Deputy Attorney General California Department of Justice 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 Telephone: (213) 897-6294 Facsimile: (213) 897-2804 Attorneys for Complainant  BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIR STATE OF CALIFORNIA  In the Matter of the Statement of Issues Against: SHERI LOLENE SMITH, a.k.a., Sheri Lolene Gabbert, a.k.a., Wendy Lynn Peterson, a.k.a., Lolly Smith, a.k.a., Lolly Smith, a.k.a., Lolla Smith 4126 E. Laurel Ave. Visalia, CA 93292  Respondent.  IT IS HEREBY STIPULATED AND AGREED by and above-entitled proceedings that the following matters are true:  PARTIES  1. Ruth Ann Terry, M.P.H., R.N. (Complainant) is the Board of Registered Nursing. She brought this action solely in her represented in this matter by Bill Lockyer, Attorney General of the Stat Terrence M. Mason, Deputy Attorney General.  2. Sheri Lolene Smith, a.k.a. Sheri Lolene Rose (Re herself in this proceeding and has chosen not to exercise her right to be 27 3. On or about June 13, 2001, the Board of Register	1		
California Department of Justice 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 Telephone: (213) 897-6294 Facsimile: (213) 897-2804  Attorneys for Complainant  BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIR STATE OF CALIFORNIA  In the Matter of the Statement of Issues Against:  Case No. 2003- 0AH No. L-20 3A, Sheri Lolene Gabbert, a.k.a., Sheri Lolene Gabbert, a.k.a., Lolly Smith, a.k.a., Sheri Lolene Smith, a.k.a., Sheri Lolene Rose (R. hcrself in this proceeding and has chosen not to exercise her right to be a. California Diversing  BEFORE THE BOARD OF REGISTERED NURSING OAH NO. L-20	2	TERRENCE M. MASON, State Bar No. 158935	
Los Angeles, CA 90013 Telephone: (213) 897-6294 Facsimile: (213) 897-6294 Facsimile: (213) 897-6294  Attorneys for Complainant  BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIR STATE OF CALIFORNIA  In the Matter of the Statement of Issues Against:  Case No. 2003- OAH No. L-20 STIPULATED A.k.a., Sheri Lolene Gabbert, a.k.a., Wendy Lynn Peterson, a.k.a., Lolly Smith, a.k.a., Lolla Smith 4126 E. Laurel Ave. Visalia, CA 93292  Respondent.  IT IS HEREBY STIPULATED AND AGREED by and above-entitled proceedings that the following matters are true:  PARTIES  1. Ruth Ann Terry, M.P.H., R.N. (Complainant) is the Board of Registered Nursing. She brought this action solely in her represented in this matter by Bill Lockyer, Attorney General of the Stat Terrence M. Mason, Deputy Attorney General.  Sheri Lolene Smith, a.k.a. Sheri Lolene Rose (R. herself in this proceeding and has chosen not to exercise her right to be 7 3. On or about June 13, 2001, the Board of Register	3	California Department of Justice	
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BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIR STATE OF CALIFORNIA  In the Matter of the Statement of Issues Against:  SHERI LOLENE SMITH, a.k.a., Sheri Lolene Rose, a.k.a., Sheri Lolene Gabbert, a.k.a., Lolly Smith, a.k.a., Lolly Smith, a.k.a., Lolly Smith, a.k.a., Lollo Smith 4126 E. Laurel Ave. Visalia, CA 93292  Respondent.  IT IS HEREBY STIPULATED AND AGREED by and above-entitled proceedings that the following matters are true: PARTIES  1. Ruth Ann Terry, M.P.H., R.N. (Complainant) is the Board of Registered Nursing. She brought this action solely in her represented in this matter by Bill Lockyer, Attorney General of the Stat Terrence M. Mason, Deputy Attorney General. 2. Sheri Lolene Smith, a.k.a. Sheri Lolene Rose (R. herself in this proceeding and has chosen not to exercise her right to be 3. On or about June 13, 2001, the Board of Register	5	Facsimile: (213) 897-6294	
BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIR STATE OF CALIFORNIA  In the Matter of the Statement of Issues Against:  Case No. 2003- OAH No. L-20 a.k.a., Sheri Lolene Rose, a.k.a., Sheri Lolene Gabbert, a.k.a., Wendy Lynn Peterson, a.k.a., Loly Smith, a.k.a., Lola Smith 4126 E. Laurel Ave. Visalia, CA 93292  Respondent.  IT IS HEREBY STIPULATED AND AGREED by and above-entitled proceedings that the following matters are true:  PARTIES  Ruth Ann Terry, M.P.H., R.N. (Complainant) is the Board of Registered Nursing. She brought this action solely in her represented in this matter by Bill Lockyer, Attorney General of the Stat Terrence M. Mason, Deputy Attorney General.  Sheri Lolene Smith, a.k.a. Sheri Lolene Rose (R. herself in this proceeding and has chosen not to exercise her right to be 3. On or about June 13, 2001, the Board of Register	6	Attorneys for Complainant	
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27  3. On or about June 13, 2001, the Board of Registe	25	2. Sheri Lolene Smith, a.k.a. Sheri Lolene Rose (Respondent) is representing	
	26	herself in this proceeding and has chosen not to exercise her right to be represented by counsel.	
28 application for a Registered Nurse Licensel from Sheri Lolene Smith (	27	3. On or about June 13, 2001, the Board of Registered Nursing received an	
H	28	application for a Registered Nurse License1 from Sheri Lolene Smith (Respondent). The Board	

denied the application on September 5, 2002.

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#### JURISDICTION

4. Statement of Issues No. 2003-295 was filed before the Board of Registered Nursing (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Statement of Issues and all other statutorily required documents were properly served on Respondent on June 30, 2003. Respondent timely filed her Notice of Defense contesting the Statement of Issues. A copy of Statement of Issues No. 2003-295 is attached as Exhibit A and incorporated herein by reference.

### ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, and understands the charges and allegations in Statement of Issues No. 2003-295. Respondent has also carefully read, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Statement of Issues; the right to be represented by counsel at her own expense; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

#### **CULPABILITY**

- 8. Respondent admits the truth of each and every charge and allegation in Statement of Issues No. 2003-295.
- 9. Respondent agrees that her application for a Registered Nurse License is subject to denial and she agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

#### **RESERVATION**

10. The admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Board of Registered Nursing or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

#### CONTINGENCY

- Nursing. Respondent understands and agrees that counsel for Complainant and the staff of the Board of Registered Nursing may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent. By signing the stipulation, Respondent understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 12. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 13. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

#### **DISCIPLINARY ORDER**

IT IS HEREBY ORDERED that a Registered Nurse License will be issued to Respondent Sheri Lolene Smith and automatically revoked. The revocation will be stayed and the Respondent placed on three (3) years probation on the following terms and conditions.

Severability Clause. Each condition of probation contained herein is a separate and distinct condition. If any condition of this Order, or any application thereof, is declared

 unenforceable in whole, in part, or to any extent, the remainder of this Order, and all other applications thereof, shall not be affected. Each condition of this Order shall separately be valid and enforceable to the fullest extent permitted by law.

1. Obey All Laws. Respondent shall obey all federal, state and local laws. A full and detailed account of any and all violations of law shall be reported by Respondent to the Board in writing within seventy-two (72) hours of occurrence. To permit monitoring of compliance with this condition, Respondent shall submit completed fingerprint forms and fingerprint fees within 45 days of the effective date of the decision, unless previously submitted as part of the licensure application process.

Criminal Court Orders: If Respondent is under criminal court orders, including probation or parole, and the order is violated, this shall be deemed a violation of these probation conditions, and may result in the filing of an accusation and/or petition to revoke probation.

2. Comply with the Board's Probation Program. Respondent shall fully comply with the conditions of the Probation Program established by the Board and cooperate with representatives of the Board in its monitoring and investigation of the Respondent's compliance with the Board's Probation Program. Respondent shall inform the Board in writing within no more than 15 days of any address change and shall at all times maintain an active, current license status with the Board, including during any period of suspension.

Upon successful completion of probation, Respondent's license shall be fully restored.

- 3. Report in Person. Respondent, during the period of probation, shall appear in person at interviews/meetings as directed by the Board or its designated representatives.
- 4. Residency, Practice, or Licensure Outside of State. Periods of residency or practice as a registered nurse outside of California shall not apply toward a reduction of this probation time period. Respondent's probation is tolled, if and when she resides outside of California. Respondent must provide written notice to the Board within 15 days of any change of residency or practice outside the state, and within 30 days prior to re-establishing residency or

returning to practice in this state.

Respondent shall provide a list of all states and territories where she has ever been licensed as a registered nurse, vocational nurse, or practical nurse. Respondent shall further provide information regarding the status of each license and any changes in such license status during the term of probation. Respondent shall inform the Board if she applies for or obtains a new nursing license during the term of probation.

5. Submit Written Reports. Respondent, during the period of probation, shall submit or cause to be submitted such written reports/declarations and verification of actions under penalty of perjury, as required by the Board. These reports/declarations shall contain statements relative to Respondent's compliance with all the conditions of the Board's Probation Program. Respondent shall immediately execute all release of information forms as may be required by the Board or its representatives.

Respondent shall provide a copy of this Decision to the nursing regulatory agency in every state and territory in which she has a registered nurse license.

6. Function as a Registered Nurse. Respondent, during the period of probation, shall engage in the practice of registered nursing in California for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

For purposes of compliance with the section, "engage in the practice of registered nursing" may include, when approved by the Board, volunteer work as a registered nurse, or work in any non-direct patient care position that requires licensure as a registered nurse.

The Board may require that advanced practice nurses engage in advanced practice nursing for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of her good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in order to comply with this condition. During the one year extension, all original conditions of

probation shall apply.

7. Employment Approval and Reporting Requirements. Respondent shall obtain prior approval from the Board before commencing or continuing any employment, paid or voluntary, as a registered nurse. Respondent shall cause to be submitted to the Board all performance evaluations and other employment related reports as a registered nurse upon request of the Board.

Respondent shall provide a copy of this Decision to her employer and immediate supervisors prior to commencement of any nursing or other health care related employment.

In addition to the above, Respondent shall notify the Board in writing within seventy-two (72) hours after she obtains any nursing or other health care related employment. Respondent shall notify the Board in writing within seventy-two (72) hours after she is terminated or separated, regardless of cause, from any nursing, or other health care related employment with a full explanation of the circumstances surrounding the termination or separation.

8. Supervision. Respondent shall obtain prior approval from the Board regarding Respondent's level of supervision and/or collaboration before commencing or continuing any employment as a registered nurse, or education and training that includes patient care.

Respondent shall practice only under the direct supervision of a registered nurse in good standing (no current discipline) with the Board of Registered Nursing, unless alternative methods of supervision and/or collaboration (e.g., with an advanced practice nurse or physician) are approved.

Respondent's level of supervision and/or collaboration may include, but is not limited to the following:

- (a) Maximum The individual providing supervision and/or collaboration is present in the patient care area or in any other work setting at all times.
- (b) Moderate The individual providing supervision and/or collaboration is in the patient care unit or in any other work setting at least half the hours Respondent works.

(c) Minimum - The individual providing supervision and/or collaboration has person-to-person communication with Respondent at least twice during each shift worked.

- (d) Home Health Care If Respondent is approved to work in the home health care setting, the individual providing supervision and/or collaboration shall have person-to-person communication with Respondent as required by the Board each work day. Respondent shall maintain telephone or other telecommunication contact with the individual providing supervision and/or collaboration as required by the Board during each work day. The individual providing supervision and/or collaboration shall conduct, as required by the Board, periodic, on-site visits to patients' homes visited by Respondent with or without Respondent present.
- 9. **Employment Limitations.** Respondent shall not work for a nurse's registry, in any private duty position as a registered nurse, a temporary nurse placement agency, a traveling nurse, or for an in-house nursing pool.

Respondent shall not work for a licensed home health agency as a visiting nurse unless the registered nursing supervision and other protections for home visits have been approved by the Board. Respondent shall not work in any other registered nursing occupation where home visits are required.

Respondent shall not work in any health care setting as a supervisor of registered nurses. The Board may additionally restrict Respondent from supervising licensed vocational nurses and/or unlicensed assistive personnel on a case-by-case basis.

Respondent shall not work as a faculty member in an approved school of nursing or as an instructor in a Board approved continuing education program.

Respondent shall work only on a regularly assigned, identified and predetermined worksite(s) and shall not work in a float capacity.

If Respondent is working or intends to work in excess of 40 hours per week, the Board may request documentation to determine whether there should be restrictions on the hours of work.

10. Complete a Nursing Course(s). Respondent, at her own expense, shall enroll and successfully complete a course(s) relevant to the practice of registered nursing no later

than six months prior to the end of her probationary term.

Respondent shall obtain prior approval from the Board before enrolling in the course(s). Respondent shall submit to the Board the original transcripts or certificates of completion for the above required course(s). The Board shall return the original documents to Respondent after photocopying them for its records.

11. Violation of Probation. If Respondent violates the conditions of her probation, the Board after giving Respondent notice and an opportunity to be heard, may set aside the stay order and impose the stayed discipline (revocation/suspension) of Respondent's license.

If during the period of probation, an accusation or petition to revoke probation has been filed against Respondent's license or the Attorney General's Office has been requested to prepare an accusation or petition to revoke probation against Respondent's license, the probationary period shall automatically be extended and shall not expire until the accusation or petition has been acted upon by the Board.

12. License Surrender. During Respondent's term of probation, if she ceases practicing due to retirement, health reasons or is otherwise unable to satisfy the conditions of probation, Respondent may surrender her license to the Board. The Board reserves the right to evaluate Respondent's request and to exercise its discretion whether to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances, without further hearing. Upon formal acceptance of the tendered license and wall certificate, Respondent will no longer be subject to the conditions of probation.

Surrender of Respondent's license shall be considered a disciplinary action and shall become a part of Respondent's license history with the Board. A registered nurse whose license has been surrendered may petition the Board for reinstatement no sooner than the following minimum periods from the effective date of the disciplinary decision:

- (1) Two years for reinstatement of a license that was surrendered for any reason other than a mental or physical illness; or
  - (2) One year for a license surrendered for a mental or physical illness.

 13. Physical Examination. Within 45 days of the effective date of this Decision, Respondent, at her expense, shall have a licensed physician, nurse practitioner, or physician assistant, who is approved by the Board before the assessment is performed, submit an assessment of the Respondent's physical condition and capability to perform the duties of a registered nurse. Such an assessment shall be submitted in a format acceptable to the Board. If medically determined, a recommended treatment program will be instituted and followed by the Respondent with the physician, nurse practitioner, or physician assistant providing written reports to the Board on forms provided by the Board.

If Respondent is determined to be unable to practice safely as a registered nurse, the licensed physician, nurse practitioner, or physician assistant making this determination shall immediately notify the Board and Respondent by telephone, and the Board shall request that the Attorney General's office prepare an accusation or petition to revoke probation. Respondent shall immediately cease practice and shall not resume practice until notified by the Board. During this period of suspension, Respondent shall not engage in any practice for which a license issued by the Board is required until the Board has notified Respondent that a medical determination permits Respondent to resume practice. This period of suspension will not apply to the reduction of this probationary time period.

If Respondent fails to have the above assessment submitted to the Board within the 45-day requirement, Respondent shall immediately cease practice and shall not resume practice until notified by the Board. This period of suspension will not apply to the reduction of this probationary time period. The Board may waive or postpone this suspension only if significant, documented evidence of mitigation is provided. Such evidence must establish good faith efforts by Respondent to obtain the assessment, and a specific date for compliance must be provided. Only one such waiver or extension may be permitted.

14. Participate in Treatment/Rehabilitation Program for Chemical Dependence. Respondent, at her expense, shall successfully complete during the probationary period or shall have successfully completed prior to commencement of probation a Board-approved treatment/rehabilitation program of at least six months duration. As required, reports

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shall be submitted by the program on forms provided by the Board. If Respondent has not completed a Board-approved treatment/rehabilitation program prior to commencement of probation, Respondent, within 45 days from the effective date of the decision, shall be enrolled in a program. If a program is not successfully completed within the first nine months of probation, the Board shall consider Respondent in violation of probation.

Based on Board recommendation, each week Respondent shall be required to attend at least one, but no more than five 12-step recovery meetings or equivalent (e.g., Narcotics Anonymous, Alcoholics Anonymous, etc.) and a nurse support group as approved and directed by the Board. If a nurse support group is not available, an additional 12-step meeting or equivalent shall be added. Respondent shall submit dated and signed documentation confirming such attendance to the Board during the entire period of probation. Respondent shall continue with the recovery plan recommended by the treatment/rehabilitation program or a licensed mental health examiner and/or other ongoing recovery groups.

Abstain from Use of Psychotropic (Mood-Altering) Drugs. Respondent 15. shall completely abstain from the possession, injection or consumption by any route of all psychotropic (mood altering) drugs, including alcohol, except when the same are ordered by a health care professional legally authorized to do so as part of documented medical treatment. Respondent shall have sent to the Board, in writing and within fourteen (14) days, by the prescribing health professional, a report identifying the medication, dosage, the date the medication was prescribed, the Respondent's prognosis, the date the medication will no longer be required, and the effect on the recovery plan, if appropriate.

Respondent shall identify for the Board a single physician, nurse practitioner or physician assistant who shall be aware of Respondent's history of substance abuse and will coordinate and monitor any prescriptions for Respondent for dangerous drugs, controlled substances or mood-altering drugs. The coordinating physician, nurse practitioner, or physician assistant shall report to the Board on a quarterly basis Respondent's compliance with this condition. If any substances considered addictive have been prescribed, the report shall identify a program for the time limited use of any such substances.

The Board may require the single coordinating physician, nurse practitioner, or physician assistant to be a specialist in addictive medicine, or to consult with a specialist in addictive medicine.

participate in a random, biological fluid testing or a drug screening program which the Board approves. The length of time and frequency will be subject to approval by the Board. Respondent is responsible for keeping the Board informed of Respondent's current telephone number at all times. Respondent shall also ensure that messages may be left at the telephone number when she is not available and ensure that reports are submitted directly by the testing agency to the Board, as directed. Any confirmed positive finding shall be reported immediately to the Board by the program and Respondent shall be considered in violation of probation.

In addition, Respondent, at any time during the period of probation, shall fully cooperate with the Board or any of its representatives, and shall, when requested, submit to such tests and samples as the Board or its representatives may require for the detection of alcohol, narcotics, hypnotics, dangerous drugs, or other controlled substances.

If Respondent has a positive drug screen for any substance not legally authorized and not reported to the coordinating physician, nurse practitioner, or physician assistant, and the Board files a petition to revoke probation or an accusation, the Board may suspend Respondent from practice pending the final decision on the petition to revoke probation or the accusation. This period of suspension will not apply to the reduction of this probationary time period.

If Respondent fails to participate in a random, biological fluid testing or drug screening program within the specified time frame, Respondent shall immediately cease practice and shall not resume practice until notified by the Board. After taking into account documented evidence of mitigation, if the Board files a petition to revoke probation or an accusation, the Board may suspend Respondent from practice pending the final decision on the petition to revoke probation or the accusation. This period of suspension will not apply to the reduction of this probationary time period.

17. Mental Health Examination. Respondent shall, within 45 days of the

effective date of this Decision, have a mental health examination including psychological testing as appropriate to determine her capability to perform the duties of a registered nurse. The examination will be performed by a psychiatrist, psychologist or other licensed mental health practitioner approved by the Board. The examining mental health practitioner will submit a written report of that assessment and recommendations to the Board. All costs are the responsibility of Respondent. Recommendations for treatment, therapy or counseling made as a result of the mental health examination will be instituted and followed by Respondent.

If Respondent is determined to be unable to practice safely as a registered nurse, the licensed mental health care practitioner making this determination shall immediately notify the Board and Respondent by telephone, and the Board shall request that the Attorney General's office prepare an accusation or petition to revoke probation. Respondent shall immediately cease practice and may not resume practice until notified by the Board. During this period of suspension, Respondent shall not engage in any practice for which a license issued by the Board is required, until the Board has notified Respondent that a mental health determination permits Respondent to resume practice. This period of suspension will not apply to the reduction of this probationary time period.

If Respondent fails to have the above assessment submitted to the Board within the 45-day requirement, Respondent shall immediately cease practice and shall not resume practice until notified by the Board. This period of suspension will not apply to the reduction of this probationary time period. The Board may waive or postpone this suspension only if significant, documented evidence of mitigation is provided. Such evidence must establish good faith efforts by Respondent to obtain the assessment, and a specific date for compliance must be provided. Only one such waiver or extension may be permitted.

18. Therapy or Counseling Program. Respondent, at her expense, shall participate in an on-going counseling program until such time as the Board releases her from this requirement and only upon the recommendation of the counselor. Written progress reports from the counselor will be required at various intervals.

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**ACCEPTANCE** 

I have carefully read the Stipulated Settlement and Disciplinary Order. I understand the stipulation and the effect it will have on my Registered Nurse License. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board of Registered Nursing.

DATED:

a.k.a., Sheri Lolene Rose Respondent

**ENDORSEMENT** 

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board of Registered Nursing of the Department of Consumer

16 Affairs.

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BILL LOCKYER, Attorney General of the State of California

Deputy Attorney General

Attorneys for Complainant

DOJ Docket/Matter ID Number: 03579110-SD2002AD0751

SheriSmithStip.wpd 28

Exhibit A
Statement of Issues No. 2003-295

1 2 3 4	BILL LOCKYER, Attorney General of the State of California TERRENCE MASON, State Bar No. 158935 Deputy Attorney General California Department of Justice 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 Telephone: (213) 897-6294 Facsimile: (213) 897-2804		
6	Attorneys for Complainant		
7	BEFORE T		
8	BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
9		Case No. 2003-295	
10	In the Matter of the Statement of Issues Against:		
11	SHERI LOLENE SMITH, a.k.a., SHERI LOLENE GABBERT	STATEMENT OF ISSUES	
12	a.k.a., LOLENE SMITH, a.k.a., S. LOLENE SMITH		
13	a.k.a., WENDY LYNN PETERSON a.k.a., LOLLY SMITH		
14	a.k.a., LOLA SMITH 2129 S. Stevenson Ct.		
15	Visalia, CA 93277		
16	Respondent.		
17	Complainant alleges:		
18	PARTIE:	<u>S</u>	
19	1. Ruth Ann Terry, M.P.H., R.N.	(Complainant) brings this Statement of	
20	Issues solely in her official capacity as the Executive Officer of the Board of Registered Nursing		
21	Department of Consumer Affairs.		
22	2. On or about June 13, 2001, the	Board of Registered Nursing, Department	
23	of Consumer Affairs received an application for a Registered Nurse License from Sheri Lolene		
24	Smith, also known as, Sheri Lolene Gabbert, Lolene Smith, S. Lolene Smith, Wendy Lynn		
25	Peterson, Lolly Smith, and Lola Smith (Respondent).	On or about May 31, 2001, Sheri Lolene	
26	Smith certified under penalty of perjury to the truthfu	ilness of all statements, answers, and	
27	representations in the application. The Board denied	the application on September 5, 2002.	
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#### **JURISDICTION**

3. This Statement of Issues is brought before the Board of Registered Nursing (Board), Department of Consumer Affairs, under the authority of the following laws.
All section references are to the Business and Professions Code unless otherwise indicated.

#### STATUTORY PROVISIONS

- 4. Section 2736 of the Business and Professions Code (Code) provides, in pertinent part, that the Board of Registered Nursing (Board) may deny a license when it finds that the applicant has committed any acts constituting grounds for denial of licensure under section 480 of that Code.
  - 5. Section 480 of the Code states:

- "(a) A board may deny a license regulated by this code on the grounds that the applicant has one of the following:
- "(1) Been convicted of a crime. A conviction within the meaning of this section means a plea or verdict of guilty or a conviction following a plea of nolo contendere. Any action which a board is permitted to take following the establishment of a conviction may be taken when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal, or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under the provisions of Section 1203.4 of the Penal Code.
- "(2) Done any act involving dishonesty, fraud or deceit with the intent to substantially benefit himself or another, or substantially injure another; or
- "(3) Done any act which if done by a licentiate of the business or profession in question, would be grounds for suspension or revocation of license.

"The board may deny a license pursuant to this subdivision only if the crime or act is substantially related to the qualifications, functions or duties of the business or profession for which application is made.

6. Section 2761 of the Code states:

"The board may take disciplinary action against a certified or licensed nurse or deny an application for a certificate or license for any of the following:

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"(a) Unprofessional conduct, which includes, but is not limited to, the following:

"(4) Denial of licensure, revocation, suspension, restriction, or any other disciplinary action against a health care professional license or certificate by another state or territory of the United States, by any other government agency, or by another California health care professional licensing board. A certified copy of the decision or judgment shall be conclusive evidence of that action.

"(d) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violating of, or conspiring to violate any provision or term of this chapter [the Nursing Practice Act] or regulations adopted pursuant to it.

"(f) Conviction of a felony or of any offense substantially related to the qualifications, functions, and duties of a registered nurse, in which event the record of the conviction shall be conclusive evidence thereof.

#### 7. Section 2762 of the Code states:

"In addition to other acts constituting unprofessional conduct within the meaning of this chapter [the Nursing Practice Act], it is unprofessional conduct for a person licensed under this chapter to do any of the following:

"(a) Obtain or possess in violation of law, or prescribe, or except as directed by a licensed physician and surgeon, dentist, or podiatrist administer to himself or herself, or furnish or administer to another, any controlled substance as defined in Division 10 (commencing with Section 11000) of the Health and Safety Code or any dangerous drug or dangerous device as defined in Section 4022.

"(b) Use any controlled substance as defined in Division 10 (commencing with Section 11000) of the Health and Safety Code, or any dangerous drug or dangerous device as defined in Section 4022, or alcoholic beverages, to an extent or in a manner dangerous or injurious to himself or herself, any other person, or the public or to the extent that such use impairs his or her ability to conduct with safety to the public the practice authorized by his or her license.

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"(c) Be convicted of a criminal offense involving the prescription, consumption, or self-administration of any of the substances described in subdivisions (a) and (b) of this section, or the possession of, or falsification of a record pertaining to, the substances described in subdivision (a) of this section, in which event the record of the conviction is conclusive evidence thereof.

# FIRST CAUSE FOR DENIAL OF APPLICATION

(Conviction of a Crime)

- 8. Respondent's application is subject to denial under sections 480(a)(1) and 2671(f), in that Respondent has been convicted of a crime substantially related to the qualifications, functions or duties of a registered nurse, as follows:
- A. On or about February 26, 1999, Respondent was convicted by the court on a guilty plea for violating one count of Penal Code section 148.5(B) (falsely reporting a misdemeanor or felony to police), a misdemeanor and one count of Penal Code section 148.9 (falsely representing self to peace officer), a misdemeanor, in the Superior Court of California, County of Orange, North Justice Center, Case No. 98NF0712 (consolidated with Case No. 98WF2325), entitled The People of the State of California v. Sheri Lolene Smith, aka Lolly Smith, Wendy Lynn Peterson, and Lola Smith.
- B. The circumstances surrounding the conviction are that on or about August 8, 1998, Respondent willfully and unlawfully reported a misdemeanor or felony to a peace officer with knowledge that such report was false. In addition, Respondent falsely represented and identified himself as another person and as a fictitious person to a peace officer, upon a lawful detention and arrest, in order to evade the process of the court and to evade the proper identification of the person by the investigating officer.
- C. On or about September 21, 1998, Respondent was convicted by the court on a guilty plea for violating Penal Code section 487(A) (grand theft), a misdemeanor, in the Superior Court of California, County of Orange, Case No. IR98HM05381, entitled *The People of the State of California v. Sheri Lolene Smith*.

- D. The circumstances surrounding the conviction are that on or about September 17, 1998, Respondent willfully and unlawfully, took money, labor, personal property, and real property of a value exceeding \$400.00 from P. Orteta, L. Orteta, & J. Villela.
- E. On or about January 23, 1996, Respondent was convicted by the court on a guilty plea for possession of a controlled substance (58-37-8(2) (a) (i) UCA), a third degree felony, in the Second Judicial District Court, Davis County, State of Utah, Case No. 951700975, entitled, The People of the State of Utah v. Sheri Lolene Smith.
- F. The circumstances surrounding the conviction are that on or about October 20, 1995, Respondent knowingly and intentionally possessed or used a controlled substance, to wit: methadone.
- G. In or about 1978, Respondent, by her own admission, was 18 years old when she was convicted on a charge for forged prescriptions.

# SECOND CAUSE FOR DENIAL OF APPLICATION

(Discipline of Utah Registered Nurse License)

- 9. Respondent's application is subject to denial under sections 2761(a)(4) for unprofessional conduct, as defined in sections 480(a)(1), 480(2), 480(3), 2761(a), 2761(f), 2762(a), 2762(b), and 2762(c), as follows:
- A. On October 17, 1996, the Division of Occupational and Professional Licensing of the Department of Commerce, of the State of Utah, under Case No. DOPL-95-289, In the Matter of the License of Sheri Lolene Smith to Practice as a Registered Nurse in the State of Utah, placed Respondent's license on indefinite probation, for engaging in unprofessional conduct, in that Respondent removed 23 Methadone tablets from a prescription card and replaced that medication with other tablets having similar appearance. In addition, Respondent had twice diverted such medication from a patient entrusted to her care, without regard to the patient's need for that medication.
- B. On October 27, 1999, the Division of Occupational and Professional Licensing of the Department of Commerce, of the State of Utah, under Case No. DOPL-99-74, In the Matter of the License of Sheri Lolene Smith to Practice as Registered Nurse in the State of

Utah, stayed Respondent's license to practice as a registered nurse for not less than one (1) year, based on Respondent's several conviction cases and failure to comply with terms and conditions.

C. On October 4, 2001, the Division's Order, dated January 31, 2001, under Case No. DOPL-99-74, In the Matter of the License of Sheri Lolene Smith to Practice as a Registered Nurse in the State of Utah, was amended, based on the Memorandum of Understanding, under Case No. DOPL-2001-16, in that Respondent submitted an application for re-licensure as a registered nurse on or about November 27, 2000. The Stipulations and Order in Case No. DOPL-99-74, suspended Respondent's license for not less than a year and Respondent admitted that she failed to comply with the terms and conditions of Case No. DOPL-95-289.

# THIRD CAUSE FOR DENIAL OF APPLICATION

(Failure to Comply with the Discipline of Utah Registered Nurse License)

10. Respondent's application is subject to denial under sections 2761(a)(4) for unprofessional conduct, as defined in section 2761(d), in that Respondent violated the discipline imposed by the Division of Occupational and Professional Licensing, of the Department of Commerce, of the State of Utah, by failing to notify the Division of her additional convictions, as more fully set forth, in paragraph 8 above.

# FOURTH CAUSE FOR DENIAL OF APPLICATION

(Unprofessional Conduct)

- 11. Respondent's application is subject to denial under sections 2761(a) and 480(a)(3) for unprofessional conduct, in that on or about December 2, 1992, Respondent was placed on diversion for making or uttering forged prescriptions (58-37-8.66), a third degree felony, in the Second District, County of Weber, State of Utah, Case No. 921003240, entitled, The People of the State of Utah v. Sheri Lolene Smith, which if done by a licentiate of the profession would be grounds for suspension or revocation of a license.
- 12. Grounds further exist to deny Respondent's application under Business and Professions Code section 480(a)(3) in that Respondent committed acts as described in paragraph 8 above. Paragraph 8 is incorporated herein as if fully set forth, which constitutes grounds for discipline against a licensee under Business and Professions Code section 2761(f).

# **PRAYER** WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Registered Nursing issue a decision: Denying the application of Sheri Lolene Smith for a Registered Nurse 1. License; Taking such other and further action as deemed necessary and proper. 2. DATED: **Executive Officer** Board of Registered Nursing Department of Consumer Affairs State of California Complainant 03579110-SD2002AD0751